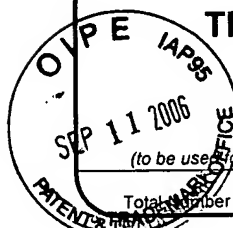


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**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

32

Application Number 10/585,026

Filing Date June 29, 2006

First Named Inventor PURI, Basant Kumar

Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket Number 714/2

ENCLOSURES (Check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement along with PTO/SB08A&B forms☐ and cited refs.
Certified Copy of Priority Document(s)☐ Reply to Missing Parts/
Incomplete Application
☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a

Provisional Application

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☐ After Allowance Communication to TC☐ Appeal Communication to Board
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(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please identify
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Kaplan Gilman Gibson & Dernier LLP (Customer No. 27538)

Signature

Printed name

Timothy X. Gibson

Date

September 8, 2006

Reg. No.

40,618

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Paula M. Halsey

Date

September 8, 2006

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
PURI, Basant Kumar

Application No.: 10/585,026

Filing Date: June 29, 2006

For: FORMULATION CONTAINING A
CARBOXYLIC ACID OR AN ESTER
THEREOF

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

Attorney Docket No.: 714/2

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Information Disclosure Statement, identification of the information, and copies thereof if required pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO SB/08A/B identifies the references of which Applicant is aware. The Examiner is respectfully requested to consider the information herein and make it of record in the subject application.

☒ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b) within three months of the filing date of the application or before the application has received a substantive Office Action. Accordingly, no fee is necessary; however, should the Patent Office determine that a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

☐ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c) before the mailing date of a Final Action, a Notice of Allowance, or close of prosecution. Accordingly included herewith is:

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Dated: September 8, 2006

Signature: Paula M. Halsey

Print Name: Paula M. Halsey

- ☐ a completed Credit Card form to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; or
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- ☐ a statement below under 37 C.F.R. § 1.97(e).

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- ☐ By checking this paragraph, the undersigned states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior the filing of this Information Disclosure Statement; or
- ☐ By checking this paragraph, the undersigned states that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent in a counterpart foreign application, and, the undersigned, after making reasonable inquiry, believes that no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Dated: September 8, 2006

Respectfully submitted,

By: 

Timothy X. Gibson

Registration No. 40,618

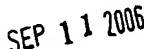
KAPLAN GILMAN GIBSON & DERNIER LLP

900 Route 9 North

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Telephone (732) 634-7634

Attorneys for Applicant



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Substitute for Form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	2
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Application Number	10/585,026
Filing Date	06/29/06
First Named Inventor	Puri, Basant Kumar
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	714/2

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ²
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
	BA	ISR for PCT/GB2004/005461	12-31-2004	Igennus Ltd.		
	BB	EP0347056A1	12-20-1989	Efamol Holdings PLC		
	BC	EP0585026A1	03-02-1994	Scotia Holdings PLC		
	BD	JP04169525*	06-17-1992	Nissei Marine Kogyo KK		✓
	BE	EP1417963A1	05-12-2004	Laxdale Ltd.		

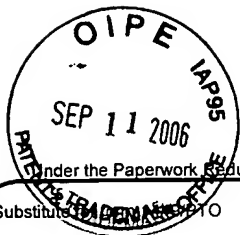
Date
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*English translation of Abstract only.



PTO/SB/08B (07-05)

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Substitute for PTO Form 101

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/585,026
Filing Date	06/29/06
First Named Inventor	Puri, Basant Kumar
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	714/2

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	BELCH, et al., Effects of altering dietary essential fatty acids on requirements for non-steriodial..., Annals of the Rheumatic Diseases, 1988: 47, 96-104.	
	CB	LATHA, A novel derivative pentacyclic triterpene and W3 fatty acid..., Prostaglandins, Leukotrlenese and Essential Fatty Acids (2001) 64(2), 81-85.	

Examiner
SignatureDate
Considered

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